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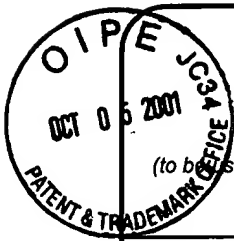
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1610 Rec'd PCT/PTO 0 6 OCT 2001Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|---|---|------------------------|-------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/889,351 |
| | | Filing Date | July 17, 2001 |
| | | First Named Inventor | PEDERSEN, Steven et al. |
| | | Group Art Unit | 1723 |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 4320-350 |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -REQUEST FOR CORRECTION -RETURN RECEIPT POSTCARD |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

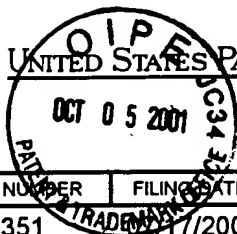
| | |
|-------------------------|--|
| Firm or Individual name | BERESKIN & PARR, SCOTT PUNDACK, 47,330 |
| Signature | Scott Pundack |
| Date | October 4, 2001 |

CERTIFICATE OF MAILING

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/889,351 | 11/2001 | 1723 | 940 | 4320-350 | 3 | 20 | 4 |

CONFIRMATION NO. 2663

FILING RECEIPT



OC000000006477841

 Bereskin & Parr
 Box 401 40 King Street West
 Toronto Ontario, M5H 3Y2
 CANADA

Date Mailed: 08/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Steven Pedersen, Burlington, CANADA;
 Pierre Cote, Dundas, CANADA;
 Arnold Janson, Burlington, CANADA;
 Nicholas Adams, Hamilton, CANADA;
 Jason Cadera, Guelph, CANADA;

Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A 371 OF PCT/CA00/01354 11/15/2000
 WHICH IS A CIP OF 09/565,032 05/05/2000
 WHICH IS A CIP OF 09/505,718 02/17/2000

Foreign Applications

 CANADA 2,290,053 11/18/1999
 PCT PCT/CA99/01113 11/18/1999
 CANADA 2,308,230 05/05/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

SEP 27 2001

Immersed membrane filtration system and overflow process

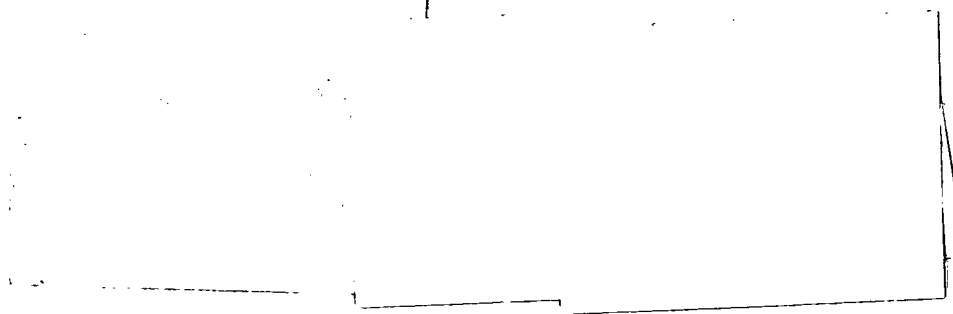
Preliminary Class

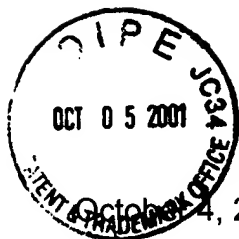
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Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/27/2001





Bereskin & Parr



Barristers and Solicitors/Patent and Trade Mark Agents
Practice Restricted to Intellectual Property Law

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Your Reference: 09/889,351
Our Reference: 4320-350

REQUEST FOR CORRECTION

Office of Initial Patent Examination's
Customer Service Center
The Commissioner of Patents
and Trademarks
Washington, D.C.
U.S.A 20231

Dear Sir:

Re: U.S. Patent Application Serial No.: 09/889,351
Title: IMMERSED MEMBRANE FILTRATION
SYSTEM AND OVERFLOW PROCESS
Filed: July 17, 2001
Group: 1723
Applicant: Steven Pedersen et al.

This is further to the Filing Receipt dated August 27, 2001. Please note that there are two foreign applications that were omitted from the foreign applications section of the Filing Receipt. Listed below are the two foreign applications that need to be added to the Filing Receipt.

- 1) Foreign Application No.: PCT/CA99/01113
Filed: November 18, 1999
Country: PCT
- 2) Foreign Application No.: 2,308,230
Filed: May 5, 2000
Country: Canada


A copy of the Initial Information Data Sheet, as filed, is enclosed for your reference together with a copy of the Filing Receipt adding the foreign applications.

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We look forward to receiving a corrected filing receipt incorporating the change.

Respectfully submitted,

STEVEN PEDERSEN ET AL.

A handwritten signature in cursive script, reading "Scott R. Pundsack", is written over a horizontal line.

Scott R. Pundsack

Registration No. 47,330

/bp

Encl.

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